6.16.05

RPTO/SB/21 (08-00)

2000 3629

se type a plus sign (+) inside this box -> +

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Application Number** 09/781,042 TRANSMITTAL Filing Date February 9, 2001 **FORM First Named Inventor** Lieu (to be used for all correspondence after initial filing) **Group Art Unit** 3625 **Examiner Name** Smith, J. A. Total Number of Pages in This Submission 6 **Attorney Docket Number** 021790-000710US ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form (for an Application) Group Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) \_\_\_ After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Return receipt postcard Terminal Disclaimer Express Abandonment Request 2) PTO/SB/08A and PTO/SB/08B forms Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Document(s) Remarks Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Suiit B. Kotwal Reg No. 43,336 Individual name Signature S.B. Kobwal Date May 12, 2005 CERTIFICATE OF MAILING Express Mail Label: EV 529 852 825 US, I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date May 12, 2005 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Christopher R. Fitting Signature Date May 12, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 60490679 v1

he date indicated above and is addressed to:

Attorney Docket No.: 021790-000710US

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

'Express Mail Post Office to Address" service under 37 CFR 1.10

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SUN MING LIEU et al.

Application No.: 09/781,042

Filed: February 9, 2001

For: A TOKENS-BASED SYSTEM FOR

PROVIDING INFORMATION TO

**USERS** 

Examiner: Smith, Jeffrey A.

Art Unit: 3625

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are not enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or

SUN MING LIEU et al. Application No.: 09/781,042

MAY 1 2 2005

should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

### **CERTIFICATION**

I hereby certify that no item of information contained in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

SBK:crf

60490668 v1

MAN 12 MILE TO

ubstitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	09/781,042			
Filing Date	February 9, 2001			
First Named Inventor	Lieu, Sun Ming			
Art Unit	3625			
Examiner Name	Smith, Jeffrey A.			
Attorney Docket Number	021790-000710US			

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-6,678,685	01-13-2004	McGill et al.	
	AB	US-6,434,530	08-13-2002	Sloane et al.	
	AC	US-6,370,513	04-09-2002	Kolawa et al.	
	AD	US-5,963,948	10-05-1999	Shilcrat	
	AE	US-5,870,716	02-09-1999	Sugiyama et al.	
	AF	US-5,664,110	09-02-1997	Green et al.	
	AG	US-5,424,524	06-13-1995	Ruppert et al.	:
	AH	US-4,703,423	10-27-1987	Bado et al.	
	ΑI	US-2002/0011923	01-31-2002	Cunningham et al.	
	AJ	US-2001/0032130	10-18-2001	Gabos et al.	
	AK	US-2001/0025279	09-27-2001	Krulak et al.	
		·			
					-
	-				

FOREIGN PATENT DOCUMENTS								
	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
					,			
		1	-					

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

Applicant is to place a check mark here if English language Translation is attached. 

60490668 v1



Sheet

titute for form 1449B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known			
Application Number 09/781,042			
Filing Date	February 9, 2001		
First Named Inventor	Lieu, Sun Ming		
Art Unit	3625		
Examiner Name	Smith, Jeffrey A.		
Attorney Docket Number	021790-000710US		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
		•			

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.